



2827

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **FUKASAWA, et al.**

Group Art Unit: **2827**

Serial No.: **09/766,656**

Examiner: **GRAYBILL**

Filed: **January 23, 2001**

P.T.O. Confirmation No.: **1144**

**FOR: METHOD AND MOLD FOR MANUFACTURING SEMICONDUCTOR DEVICE,  
SEMICONDUCTOR**

### INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97(c)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

August 1, 2003

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached along with an Office Action mailed June 17, 2003 of corresponding Japanese Patent Application No. 9-181132 and a European Search Report dated June 17, 2003. The remaining references were cited in an Office Action dated June 3, 2003, in related application Serial No. 09/635,124.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry of the national stage for the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance.

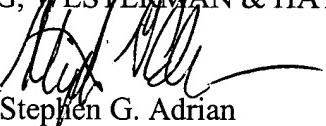
Since the references were recently cited in both related foreign and U.S. applications

(1) the undersigned hereby certifies that no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, and,

The Commissioner is hereby authorized to charge any fee (or credit any overpayment) associated with this Statement to our Deposit Account No. 01-2340.

Respectfully submitted,

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**23850**

PATENT TRADEMARK OFFICE

Enclosures: PTO-1449 and references (26)  
Office Action mailed June 17, 2003  
(corresponding Japanese Patent Application No. 9-181132)  
and a European Search Report dated June 17, 2003

to the knowledge of the undersigned after making reasonable inquiry, no information contained in this statement was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement

Or

(2) the undersigned hereby certifies that each item of information contained in this statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.



**INFORMATION  
DISCLOSURE  
CITATION  
PTO-1449**

Atty. Docket No. 980233B Serial No. 09/766,656

Applicant(s): FUKASAWA, et al.

Filing Date: January 23, 2001 Group Art Unit: 2827

### U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA	5,307,561	Feigenbaum et al.	5/1994			
_____	AB	5,309,021	Shimamoto et al.	5/1994			
_____	AC	5,302,849	Cavasin	4/1994			
_____	AD	5,157,480	McShane et al.	10/1992			
_____	AE	5,258,577	Clements	11/1993			
_____	AF	5,363,277	Tanaka	11/1994			
_____	AG	5,128,746	Pennisi et al.	7/1992			
_____	AH	6,294,830	Fjelstad	9/2001			
_____	AI	6,069,408	Honda et al.	5/2000			
_____	AJ	5,969,426	Baba et al.	10/1999			
_____	AK	5,930,603	Tsuji et al.	7/1999			
_____	AL	5,824,569	Brooks et al.	10/1998			
_____	AM	5,766,972	Takahashi et al.	6/1998			
_____	AN	5,668,404	Abe et al.	9/1997			
_____	AO	5,656,863	Yasunaga et al.	8/1997			
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_____	AQ	5,496,775	Brooks	3/1996			
_____	AR	5,444,301	Song et al.	8/1995			
_____	AS	5,139,969	Mori	8/1992			
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### FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
_____	AU	1-134938	5/26/1989	Japan	Abstract
_____	AV	8-255868	10/1/1996	Japan	Abstract
_____	AW	5-82717	4/2/1993	Japan	Abstract
_____	AX	EP 0 704 896 A2	4/3/1996	Europe	
_____	AY	EP 0 684 641 A2	11/29/95	Europe	
_____	AZ	EP 0 689 245 A2	12/27/1995	Europe	

### OTHER DOCUMENTS

_____	BA	
_____	BB	
Examiner	Date Considered	